

## I. References of Interest

### A. Dialog

Dialog eLink: [Order File History](#)

16/3K/11 (Item 11 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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01432420

**METHODS AND APPARATUS TO PREDICT DEMAND FOR A PRODUCT OR SERVICE**  
**METHODES ET DISPOSITIF PERMETTANT DE PREVOIR LA DEMANDE POUR UN PRODUIT**  
**OU UN SERVICE**

### Patent Applicant/Patent Assignee:

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US; US (Residence); US (Nationality)  
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- **HARMON Richard**; 510 Lake Cook Road, Suite 400, Deerfield, Illinois 60015  
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(Designated only for: US)

### Patent Applicant/Inventor:

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### Legal Representative:

- **ORRICK HERRINGTON & SUTCLIFFE LLP et al(agent)**  
4 Park Plaza, Suite 1600, Irvine, California 92614-2558; US;

	Country	Number	Kind	Date
Patent	WO	2006116570	A2-A3	20061102
Application	WO	2006US15956		20060425
Priorities	US	2005674866		20050425

### Detailed Description:

...terminals over the network to be displayed by the buyers' and sellers' network browsers. The **interface** is configured to **transmit** user **commands entered** by the **buyers** and **sellers** to the exchange for submitting offers to sell inventory, bids to purchase inventory as well...

20/3,K/47 (Item 3 from file: 23)

DIALOG(R)File 23: CSA Technology Research Database

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0010434399 IP Accession No: 200809-71-1931885; 200809-61-2034165; 20081884204; A08-99-1987861

**Apparatus for insuring futures contracts against catastrophic loss**

Sober, Michael S  
, USA

**Document Type:** Patent

**Record Type:** Abstract

**Language:** English

**File Segment:** Metadex; Mechanical & Transportation Engineering Abstracts; ANTE: Abstracts in New Technologies and Engineering; Aerospace & High Technology

**Abstract:**

...customer transactions including various data for insurance unit purchases and renewals, unit cancellations resulting from **investor-** or **broker-** **initiated** sales of insured futures contracts, and insurance-activated **sales** when the **price** of the futures contract has declined below the insurance activation price. A central office computer receives, tests and processes the customer transaction data from the multiple point-of-sale stations. The central **system provides** information concerning the number and type of futures contracts currently insured, as well as how... ...the current projection of possible loss claims against the insurance plan. By comparing the current **price** with the **purchase price** of the futures contract, it is determined when the insured's loss has exceeded the... ...at which time the futures position is to be sold by the broker. The central **system provides** periodic **reports** concerning insurance transactions.

B. Additional Resources Searched

## II. Inventor Search Results from Dialog

File 20:Dialog Global Reporter 1997-2009/May 08  
(c) 2009 Dialog  
File 15:ABI/Inform(R) 1971-2009/May 07  
(c) 2009 ProQuest Info&Learning  
File 610:Business Wire 1999-2009/May 08  
(c) 2009 Business Wire.  
File 810:Business Wire 1986-1999/Feb 28  
(c) 1999 Business Wire  
File 613:PR Newswire 1999-2009/May 08  
(c) 2009 PR Newswire Association Inc  
File 813:PR Newswire 1987-1999/Apr 30  
(c) 1999 PR Newswire Association Inc  
File 634:San Jose Mercury Jun 1985-2009/May 07  
(c) 2009 San Jose Mercury News  
File 624:McGraw-Hill Publications 1985-2009/May 08  
(c) 2009 McGraw-Hill Co. Inc  
File 9:Business & Industry(R) Jul/1994-2009/May 07  
(c) 2009 Gale/Cengage  
File 275:Gale Group Computer DB(TM) 1983-2009/Apr 14  
(c) 2009 Gale/Cengage  
File 621:Gale Group New Prod.Annou.(R) 1985-2009/Apr 03  
(c) 2009 Gale/Cengage  
File 636:Gale Group Newsletter DB(TM) 1987-2009/Apr 17  
(c) 2009 Gale/Cengage  
File 16:Gale Group PROMT(R) 1990-2009/Apr 17  
(c) 2009 Gale/Cengage  
File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 148:Gale Group Trade & Industry DB 1976-2009/Apr 24  
(c) 2009 Gale/Cengage

Set	Items	Description
S1	7	AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE(2N) D?)

File 2:INSPEC 1898-2009/Apr W4  
(c) 2009 Institution of Electrical Engineers  
File 35:Dissertation Abs Online 1861-2009/Apr  
(c) 2009 ProQuest Info&Learning  
File 65:Inside Conferences 1993-2009/May 08  
(c) 2009 BLDSC all rts. reserv.  
File 99:Wilson Appl. Sci & Tech Abs 1983-2009/Apr  
(c) 2009 The HW Wilson Co.  
File 474:New York Times Abs 1969-2009/May 08  
(c) 2009 The New York Times  
File 475:Wall Street Journal Abs 1973-2009/May 08  
(c) 2009 The New York Times  
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
(c) 2002 Gale/Cengage  
File 256:TecInfoSource 82-2009/Mar  
(c) 2009 Info.Sources Inc  
File 23:CSA Technology Research Database 1963-2009/May  
(c) 2009 CSA.

Set	Items	Description
S1	723	AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE(2N)D?)
S2	5	1 AND (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR SELL??? (2N) (ORDER? ?))

File 348:EUROPEAN PATENTS 1978-200918

(c) 2009 European Patent Office

File 349:PCT FULLTEXT 1979-2009/UB=20090423|UT=20090416

(c) 2009 WIPO/THOMSON

File 324:GERMAN PATENTS FULLTEXT 1967-200917

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Set	Items	Description
S1	31	AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE(2N)D?)
S2	1	S1 AND (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR SELL??? (2N) (ORDER? ?))

File 350:Derwent WPIX 1963-2009/UD=200927

(c) 2009 Thomson Reuters

File 347:JAPIO Dec 1976-2009/Jan(Updated 090503)

(c) 2009 JPO & JAPIO

File 344:Chinese Patents Abs Jan 1985-2006/Jan

(c) 2006 European Patent Office

Set	Items	Description
S1	27	AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE(2N)D?)
S2	0	S1 AND (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING)

### III. Text Search Results from Dialog

#### A. Patent Files, Abstract

File 350:Derwent WPIX 1963-2009/UD=200927  
(c) 2009 Thomson Reuters  
File 347:JAPIO Dec 1976-2009/Jan(Updated 090503)  
(c) 2009 JPO & JAPIO  
File 344:Chinese Patents Abs Jan 1985-2006/Jan  
(c) 2006 European Patent Office

Set	Items	Description
S1	3421264	(SOFTWARE OR AUTOMAT?? OR COMPUTERI??? OR SYSTEM? ? OR PROGRAM??? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG??? OR SOLUTION? ? OR TOOL? ?) (7N) (DISPLAY??? - OR BROADCAST??? OR FORWARD??? OR SEND??? OR TRANSMIT??? OR REPORT??? OR PROVID??? OR PRESENT??? OR OUTPUT???? OR SHOW??? OR LIST??? OR MONITOR??? OR EXHIBIT??? OR VIEW???? OR IMAGE??? - OR SCREEN???)
S2	92907	(BUY??? OR PURCHAS??? OR SELL??? OR SALE? ? OR DAY? ? OR GTC? ? OR LIMIT??? OR MARKET?(1W)CLOS??? OR STOP?()LIMIT??? OR STOP?()LOSS? ? OR MARKET??? OR STOP???? OR ASKING OR BIDDING) - (3N) (ORDER??? OR REQUEST??? OR DIRECT? OR INSTRUCTION? ? OR COMMAND??? OR PRIC???)
S3	127840	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDOR? ? OR BROKER? ?) (7N) (INITIAT??? OR START??? OR BEGN??? - OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR - ARRANG??? OR COMMENC???? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	20867	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?) (7N) (INITIAT??? OR START??? OR BEGN??? OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR ARRANG??? OR COMMENC???? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	20209	(S2 OR S3 OR S4) (7N) (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK??? OR TENDENC???? OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR - PARAMETER? ? OR GROW??? OR REDUC?????)
S6	12	(TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK??? (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR SELL?? - ? (2N) (ORDER? ?))
S7	28711	S1 AND S2
S8	829	S7 AND S3
S9	359	S8 AND S4
S10	57	S9 AND S5
S11	2	S10 AND S6
S12	12	S6 OR S11
S13	12	IDPAT (sorted in duplicate/non-duplicate order)
S14	12	IDPAT (primary/non-duplicate records only)

## B. Patent Files, Full-Text

File 348:EUROPEAN PATENTS 1978-200918  
 (c) 2009 European Patent Office  
 File 349:PCT FULLTEXT 1979-2009/UB=20090423|UT=20090416  
 (c) 2009 WIPO/THOMSON  
 File 324:GERMAN PATENTS FULLTEXT 1967-200917  
 (c) 2009 UNIVENTIO/THOMSON

Set	Items	Description
S1	2877659	(SOFTWARE OR AUTOMAT?? OR COMPUTERI??? OR SYSTEM? ? OR PROGRAM??? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG??? OR SOLUTION? ? OR TOOL? ?) (7N) (DISPLAY??? - OR BROADCAST??? OR FORWARD??? OR SEND??? OR TRANSMIT??? OR REPORT??? OR PROVID??? OR PRESENT??? OR OUTPUT??? OR SHOW??? OR LIST??? OR MONITOR??? OR EXHIBIT??? OR VIEW??? OR IMAGE??? - OR SCREEN???)
S2	234142	(BUY??? OR PURCHAS??? OR SELL??? OR SALE? ? OR DAY? ? OR GTC? ? OR LIMIT??? OR MARKET?(1W)CLOS??? OR STOP?()LIMIT??? OR STOP?()LOSS? ? OR MARKET??? OR STOP???? OR ASKING OR BIDDING)-(3N) (ORDER??? OR REQUEST??? OR DIRECT? OR INSTRUCTION? ? OR COMMAND??? OR PRIC???)
S3	207908	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDOR? ? OR BROKER? ?) (7N) (INITIAT??? OR START??? OR BEG?N??? - OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR - ARRANG??? OR COMMENC???? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	36359	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?) (7N) (INITIAT??? OR START??? OR BEG?N??? OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR ARRANG??? OR COMMENC???? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	46529	(S2 OR S3 OR S4) (7N) (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK??? OR TENDENC???? OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR - PARAMETER? ? OR GROW??? OR REDUC?????)
S6	74	(TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK??? (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR SELL??? (2N) (ORDER? ?))
S7	5498	S1 (5N) S2
S8	8486	S1 (10N) S2
S9	129	S8 (5N) S3
S10	32	S9 (5N) S4
S11	6	S10 (5N) S5
S12	3	S8 (5N) S6
S13	3	S8 (10N) S6
S14	32	S10 OR S11 OR S12 OR S13
S15	32	IDPAT (sorted in duplicate/non-duplicate order)
S16	32	IDPAT (primary/non-duplicate records only)

Dialog eLink: [Order File History](#)

16/3K/5 (Item 5 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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01557300

## SYSTEM FOR TRADING SPECTRUM RIGHTS

SYSTEME D'ECHANGE DE DROITS DE SPECTRES DE FREQUENCES

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- **MYLET Darrin-michael**; 18143 Regents Square Drive, Tampa, Hillsborough County, FL 33647-2291  
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	Country	Number	Kind	Date
Patent	WO	2007100660	A2-A3	20070907
Application	WO	2007US4729		20070223
Priorities	US	2006361713		20060224

### Detailed Description:

...comprising a central processing unit (CPU) . The end user interface may be employed by either **buyer** 16 or **seller** 18 in **order to initiate** transactions or to perform asset- **monitoring** functions within **system** 10. Alternatively, such an end user interface may be replaced with any other suitable interface...

Dialog eLink: [Order File History](#)

16/3K/25 (Item 25 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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00878882

## METHOD AND SYSTEM FOR EXCHANGING COMMODITIES ONLINE PROCEDE ET SYSTEME D'ECHANGE DE PRODUITS EN LIGNE

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(For all designated states except: US)
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(For all designated states except: US)
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(For all designated states except: US)

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	Country	Number	Kind	Date
Patent	WO	200213096	A1	20020214
Application	WO	2001US24331		20010803
Priorities	US	2000224008		20000804

### Detailed Description:

...to enter an offer for selling a commodity according to a predetermined contract and a **buyer order entry screen** , operatively associated with the **seller interface**, permitting a **buyer** to enter a bid for purchasing the commodity. The system may further include a transaction generator, operatively...



#### IV. Text Search Results from Dialog

##### A. NPL Files, Abstract

File 2:INSPEC 1898-2009/Apr W4  
(c) 2009 Institution of Electrical Engineers  
File 35:Dissertation Abs Online 1861-2009/Apr  
(c) 2009 ProQuest Info&Learning  
File 65:Inside Conferences 1993-2009/May 08  
(c) 2009 BLDSC all rts. reserv.  
File 99:Wilson Appl. Sci & Tech Abs 1983-2009/Apr  
(c) 2009 The HW Wilson Co.  
File 474:New York Times Abs 1969-2009/May 08  
(c) 2009 The New York Times  
File 475:Wall Street Journal Abs 1973-2009/May 08  
(c) 2009 The New York Times  
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
(c) 2002 Gale/Cengage  
File 256:TecInfoSource 82-2009/Mar  
(c) 2009 Info.Sources Inc  
File 23:CSA Technology Research Database 1963-2009/May  
(c) 2009 CSA.

Set	Items	Description
S1	2359666	(SOFTWARE OR AUTOMAT?? OR COMPUTERI??? OR SYSTEM? ? OR PROGRAM??? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG??? OR SOLUTION? ? OR TOOL? ?)(5N)(DISPLAY??? - OR BROADCAST??? OR FORWARD??? OR SEND??? OR TRANSMIT??? OR REPORT??? OR PROVID??? OR PRESENT??? OR OUTPUT??? OR SHOW??? OR LIST??? OR MONITOR??? OR EXHIBIT??? OR VIEW??? OR IMAGE??? - OR MONITOR??? OR SCREEN???)
S2	1790949	(MARKET? ? OR BROKERAGE? ? OR EXCHANGE? ? OR INTERCHANGE? ? OR NETWORK? ? OR SYSTEM? ? OR AUCTION? ? OR MARKET()PLACE? ? OR MARKETPLACE? ? OR MART? ? OR MULTIAUCTION? ? OR TRAD??? OR NYSE OR STOCK? ? OR AMEX)(3N)(TRADE? ? OR TRADING OR PURCHAS-?? OR ACQUISITION? ? OR TRANSACT????? OR ORDER??? OR SOLD OR -SELLING OR SELL? ? OR BUY? ? OR BUYING OR SALE? ? OR TRANSFER-??? OR EXCHANG??? OR INVEST??? OR MATCH??? OR BID? ? OR BIDDING OR AUCTION???)
S3	45765	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDOR?? ? OR BROKER? ?)(7N)(INITIAT??? OR START??? OR BEG?N??? - OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR -ARRANG??? OR COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	31377	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?)(7N)(INITIAT??? OR START??? OR BEG?N??? OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR ARRANG??? OR COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	183344	(BUY??? OR PURCHAS??? OR SELL??? OR SALE? ? OR DAY? ? OR GTC? ? OR LIMIT??? OR MARKET?(1W)CLOS??? OR STOP?()LIMIT??? OR STOP?()LOSS? ? OR MARKET??? OR STOP??? OR ASKING OR BIDDING)-(3N)(ORDER??? OR REQUEST??? OR DIRECT? OR INSTRUCTION? ? OR COMMAND??? OR PRIC???)
S6	25896	(S3 OR S4 OR S5)(7N)(TREND? ? OR STATISTIC????? OR CHART??? OR PATTERN OR TRACK??? OR TENDENC????? OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR -PARAMETER? ? OR GROW??? OR REDUC?????)

S7            30    (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK-  
                  ???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT???  
                  OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR SELL??-  
                  ? (2N) (ORDER? ?))

S8            146908    S1 AND S2  
 S9            662        S8 AND S3  
 S10          47         S9 AND S4  
 S11          12         S10 AND S5  
 S12          385        S8 AND S6  
 S13          58         S12 AND S3  
 S14          9          S13 AND S4  
 S15          1132       S3 AND S4  
 S16          191        S15 AND S5  
 S17          37         S16 AND S6  
 S18          81         S11 OR S14 OR S17 OR S7  
 S19          50         S18 NOT PY>2001  
 S20          50         RD    (unique items)

20/3,K/10 (Item 4 from file: 35)  
 DIALOG(R)File 35: Dissertation Abs Online  
 (c) 2009 ProQuest Info&Learning. All rights reserved.

01747848 ORDER NO: AADAA-I9976456  
**The informational content of trading activity**

**Author:** Mingelgrin, Dan H.

**Degree:** Ph.D.

**Year:** 2000

**Corporate Source/Institution:** University of Pennsylvania ( 0175 )

**Source:** Volume 6106A of Dissertations Abstracts International.

PAGE 2404 . 172 PAGES

**ISBN:** 0-599-82171-X

...concentrates on intraday trading activity. We find that 10-minute trading periods of unusually large **buyer-initiated** net **order** flow or unusually high **volume** tend to be followed by periods of price appreciation up to 24 hours <italic>after... ..periods of unusually low trading volume and under certain conditions for periods of unusually large **seller-initiated** net **order** flow. We show that these results are consistent the existence of frictions in the market...

20/3,K/46 (Item 2 from file: 23)  
 DIALOG(R)File 23: CSA Technology Research Database  
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0010842369 IP Accession No: 200811-71-2109417; 200811-61-2212506; 20082051435; A08-99-2154821

**Automated competitive bidding system and process**

Khalidi, Tariq  
, USA

**Document Type:** Patent

**Record Type:** Abstract

**Language:** English

**File Segment:** Metadex; Mechanical & Transportation Engineering Abstracts; ANTE: Abstracts in New Technologies and Engineering; Aerospace & High Technology

**Automated competitive bidding system and process**

#### **Abstract:**

...for suppliers for each module is made from multiple sources based on the modules and **directed** by the **buyer's** specifications for the product or service. The **system** of the **present** invention **packages**, processes, connects, procures, bids and **reports** the competitive bidding cycle for **buyers** and **makes** the necessary connection with interested prequalified **sellers** in a given industry or market. The **system** receives, compiles, processes and **reports** the results. The **system** of the **present** invention includes a consortium of horizontal and vertical portals, gateways and universal resource locations that encompass **trade**, industry and **market** communities.

#### **B. NPL Files, Full-text**

File 20:Dialog Global Reporter 1997-2009/May 08

(c) 2009 Dialog

File 15:ABI/Inform(R) 1971-2009/May 07

(c) 2009 ProQuest Info&Learning

File 610:Business Wire 1999-2009/May 08

(c) 2009 Business Wire.

File 810:Business Wire 1986-1999/Feb 28

(c) 1999 Business Wire

File 613:PR Newswire 1999-2009/May 08

(c) 2009 PR Newswire Association Inc

File 813:PR Newswire 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc

File 634:San Jose Mercury Jun 1985-2009/May 07

(c) 2009 San Jose Mercury News

Set	Items	Description
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S1	6234517	(SOFTWARE OR AUTOMAT?? OR COMPUTERI??? OR SYSTEM? ? OR PROGRAM??? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG??? OR SOLUTION? ? OR TOOL? ?)(SH)(DISPLAY??? - OR BROADCAST??? OR FORWARD??? OR SEND??? OR TRANSMIT??? OR REPORT??? OR PROVID??? OR PRESENT??? OR OUTPUT???? OR SHOW??? OR LIST??? OR MONITOR??? OR EXHIBIT??? OR VIEW???? OR IMAGE??? - OR MONITOR??? OR SCREEN???)
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S2	17563562	(MARKET? ? OR BROKERAGE? ? OR EXCHANGE? ? OR INTERCHANGE? ?
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OR NETWORK? ? OR SYSTEM? ? OR AUCTION? ? OR MARKET()PLACE? ?  
OR MARKETPLACE? ? OR MART? ? OR MULTIAUCTION? ? OR TRAD??? OR  
NYSE OR STOCK? ? OR AMEX)(3N)(TRADE? ? OR TRADING OR PURCHAS-  
?? OR ACQUISITION? ? OR TRANSACT????? OR ORDER??? OR SOLD OR -  
SELLING OR SELL? ? OR BUY? ? OR BUYING OR SALE? ? OR TRANSFER-  
??? OR EXCHANG??? OR INVEST??? OR MATCH??? OR BID? ? OR BIDDING  
OR AUCTION????)

S3 885590 (SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDOR?  
? ? OR BROKER? ?)(7N)(INITIAT??? OR START??? OR BEG?N??? -  
OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR -  
ARRANG??? OR COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR MAKE?  
? ? OR MAKING OR CREAT???)

S4 1434555 (BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT?  
?)(7N)(INITIAT??? OR START??? OR BEG?N??? OR LED OR LEAD?  
? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR ARRANG??? OR  
COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING  
OR CREAT???)

S5 4508428 (BUY??? OR PURCHAS??? OR SELL??? OR SALE? ? OR DAY? ? OR GTC?  
? ? OR LIMIT??? OR MARKET?(1N)CLOS??? OR STOP?()LIMIT??? OR  
STOP?()LOSS? ? OR MARKET??? OR STOP????? OR ASKING OR BIDDING)-  
(3N)(ORDER??? OR REQUEST??? OR DIRECT? OR INSTRUCTION? ? OR COMMAND?  
??? OR PRIC???)

S6 591800 (S3 OR S4 OR S5)(7N)(TREND? ? OR STATISTIC????? OR CHART???  
OR PATTERN OR TRACK??? OR TENDENC????? OR MOVEMENT? ? OR RATE?  
? OR RATING? ? OR VOLUME? ? OR ANALYSIS OR FLUCTUATION? ? OR -  
PARAMETER? ? OR GROW??? OR REDUC?????)

S7 47 (TREND? ? OR STATISTIC????? OR CHART??? OR PATTERN OR TRACK-  
???) (3N)(BUYER? ? OR SELLER? ?)(3N)(INITIAT??? OR ACTIVAT???  
OR OPEN??? OR MADE OR MAKE? ? OR MAKING)(3N)(ORDER? ?)

S8 203310 S1(5N)S2  
S9 372 S8(5N)S3  
S10 569 S8(10N)S3  
S11 791 S8(15N)S3  
S12 108 S11(5N)S4  
S13 4 S12(5N)S5  
S14 39 S11(5N)S6  
S21 39 S14(5N)S3  
S22 2 S21(5N)S4  
S23 2 S21(10N)S4  
S24 39 S14(10N)S6  
S25 39 S14(20N)S6  
S26 35 S7 NOT PY>2002  
S27 40 S13 OR S22 OR S23 OR S26  
S28 39 S27 NOT PY>2002

28/3/K/3 (Item 3 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

21781906 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**CCIL WILL BRING DOWN FOREX TRADING COST DRASTICALLY: RH PATIL (to offer 2  
types of services in the foreign exchange market by the end of May 2002)**

INDIA BUSINESS INSIGHT  
March 13, 2002

**Journal Code: WIBI Language: English Record Type: FULLTEXT**

**Word Count:** 129  
**(USE FORMAT 7 OR 9 FOR FULLTEXT)**

...system.

CCIL will offer 2 types of services in the forex market. One is the **order matching** between **buyers** and **sellers**. Another is the **chart-making** and online negotiation facility, which is being offered at a special price.

28/3,K/16 (Item 16 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

11478916 **(USE FORMAT 7 OR 9 FOR FULLTEXT)**  
**Stockmarket goes electronic on Tuesday**

**Section Title:** Money & markets

**Compiler:** Ruth Marchand  
SUNDAY BUSINESS POST  
June 04, 2000

**Journal Code:** FSBP **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 647  
**(USE FORMAT 7 OR 9 FOR FULLTEXT)**

...expect to get a narrow two way price in a small capitalisation stock.  
The new **screen** based Xetra **trading system** will automatically **match** the best **orders entered** by **buyers** and **sellers**. It will allow **brokers** to view the currency order flow as well as volumes which will be a first...

28/3,K/23 (Item 2 from file: 15)  
DIALOG(R)File 15: ABI/Inform(R)  
(c) 2009 ProQuest Info&Learning. All rights reserved.

02014906 52836250  
**Citi, TD assembling virtual B-to-B marketplaces**

O'Brien, Jeanne  
Bank Systems & Technology v37n5 pp: 32  
May 2000

**ISSN:** 1045-9472 **Journal Code:** BSE  
**Word Count:** 709

**Text:**

...Like the planned e-Citi portal, TD MarketSite will connect buying and supplying organizations in **open**, real-time trading communities.

**Buyers at the MarketSites will be able to place and track orders with a range of sellers by accessing one Web site. The portals will enable customers to look at online catalogs...**

## C. NPL Files, Full-text (Part II)

File 624:McGraw-Hill Publications 1985-2009/May 08  
(c) 2009 McGraw-Hill Co. Inc  
File 9:Business & Industry(R) Jul/1994-2009/May 07  
(c) 2009 Gale/Cengage  
File 275:Gale Group Computer DB(TM) 1983-2009/Apr 14  
(c) 2009 Gale/Cengage  
File 621:Gale Group New Prod.Annou.(R) 1985-2009/Apr 03  
(c) 2009 Gale/Cengage  
File 636:Gale Group Newsletter DB(TM) 1987-2009/Apr 17  
(c) 2009 Gale/Cengage  
File 16:Gale Group PROMT(R) 1990-2009/Apr 17  
(c) 2009 Gale/Cengage  
File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 148:Gale Group Trade & Industry DB 1976-2009/Apr 24  
(c) 2009 Gale/Cengage

Set	Items	Description
S1	7710939	(SOFTWARE OR AUTOMAT?? OR COMPUTERI??? OR SYSTEM? ? OR PROGRAM??? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG??? OR SOLUTION? ? OR TOOL? ?)(5N)(DISPLAY??? - OR BROADCAST??? OR FORWARD??? OR SEND??? OR TRANSMIT??? OR REPORT??? OR PROVID??? OR PRESENT??? OR OUTPUT??? OR SHOW??? OR LIST??? OR MONITOR??? OR EXHIBIT??? OR VIEW??? OR IMAGE??? - OR MONITOR??? OR SCREEN???)
S2	12910026	(MARKET? ? OR BROKERAGE? ? OR EXCHANGE? ? OR INTERCHANGE? ? OR NETWORK? ? OR SYSTEM? ? OR AUCTION? ? OR MARKET()PLACE? ? OR MARKETPLACE? ? OR MART? ? OR MULTIAUCTION? ? OR TRAD??? OR NYSE OR STOCK? ? OR AMEX)(3N)(TRADE? ? OR TRADING OR PURCHASE? ? OR ACQUISITION? ? OR TRANSACT????? OR ORDER??? OR SOLD OR - SELLING OR SELL? ? OR BUY? ? OR BUYING OR SALE? ? OR TRANSFER??? OR EXCHANG??? OR INVEST??? OR MATCH??? OR BID? ? OR BIDDING OR AUCTION???)
S3	880831	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDOR? ? OR BROKER? ?)(7N)(INITIAT??? OR START??? OR BEG?N??? - OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR - ARRANG??? OR COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	1359820	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?)(7N)(INITIAT??? OR START??? OR BEG?N??? OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR ARRANG??? OR COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	5075429	(BUY??? OR PURCHAS??? OR SELL??? OR SALE? ? OR DAY? ? OR GTC? ? OR LIMIT??? OR MARKET?(1W)CLOS??? OR STOP?()LIMIT??? OR STOP?()LOSS? ? OR MARKET??? OR STOP??? OR ASKING OR BIDDING) - (3N)(ORDER??? OR REQUEST??? OR DIRECT? OR INSTRUCTION? ? OR COMMAND??? OR PRIC???)

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S6      553470  (S3 OR S4 OR S5) (7N) (TREND? ? OR STATISTIC???? OR CHART???
              OR PATTERN OR TRACK??? OR TENDENC???? OR MOVEMENT? ? OR RATE?
              ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR -
              PARAMETER? ? OR GROW??? OR REDUC?????)
S7      1875   (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK-
              ???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT???
              OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR SELL??-
              ? (2N) (ORDER? ?))
S8      384584  S1 (10N) S2
S9      840     S8 (5N) S3
S10     1224    S8 (10N) S3
S11     159     S10 (5N) S4
S12     9       S11 (5N) S5
S13     886     S8 (5N) S6
S14     50      S13 (10N) S3
S15     4       S14 (10N) S4
S16     7       S8 (5N) S7
S17     29      S14 NOT PY>2001
S18     47      S12 OR S15 OR S16 OR S17
S19     42      S18 NOT PY>2001
S20     30      RD (unique items)

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V. Additional Resources Searched